



PATENT Attorney Docket No. PRK-002 (6408/7)

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S):

Doctor et al.

SERIAL NO.:

09/833,089

**GROUP NO.:** 

2163

FILING DATE:

April 10, 2001

**EXAMINER:** 

Not yet assigned

TITLE:

Health Care Payment Compliance Management

## CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Box Missing Parts, Commissioner for Patents, Washington, DC 20231 on this 29th day of June, 2001.

Elena Ferragamo

Box Missing Parts Commissioner for Patents Washington, D.C. 20231

Sir:

Submitted herewith is/are:

Transmittal Form (1 page)

Fee Transmittal (1 page)

Executed Declaration and Power of Attorney (3 pages)

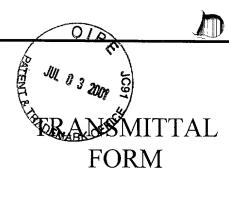
Executed Small Entity Statement (1 page)

Copy of Notice to File Missing Parts (2 pages)

Substitute Formal Drawings (12 sheets)

Check in the amount of \$460.00

A return receipt postcard



| Application Serial Number              | 09/833,089       |
|--|------------------|
| Filing Date                            | April 10, 2001   |
| First Named Inventor                   | Doctor           |
| Group Art Unit                         | 2163             |
| Examiner Name                          | Not yet assigned |
| Attorney Docket No.                    | PRK-002          |
| BATCH NO. (after allowance)            | Not applicable   |
| Patent No.                             | Not applicable   |
| Issue Date                             | Not applicable   |
| ·* · · · · · · · · · · · · · · · · · · |                  |

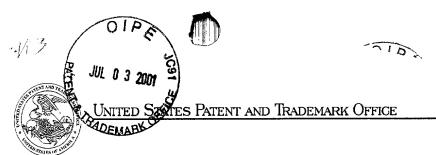
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|---|--|----------------|------------------------------|--|------------------|--|--|--|
|   | TRANSMITTA   | Group Art Unit |                              |  | 2163             |  |  |  |
|   | COLUMN TO THE PARTY OF THE PART | Examiner N     | Examiner Name                |  | Not yet assigned |  |  |  |
| FORM  |  |                | Attorney D                   | ocket No.                                | 1                | PRK-002  |  |  |
|   |  | BATCH NO       | BATCH NO. (after allowance)  |  | Not applicable   |  |  |  |
| ı   |  | Patent No.     | Patent No.                   |  | Not applicable   |  |  |  |
| ı   |  |                | Issue Date                   |  |                  | Not applicable   |  |  |
| r   |  | EN             | CL OCUPES (                  |  |                  |  |  |  |
|   | Fee Transmittal Form   | EN             |                              | check all that apply) te to File Missing |                  | Notice of Appeal to Board                              |  |  |
|   |  |                |                              | cation (PTO-1553)                        |                  | of Patent Appeals and Interferences                    |  |  |
| ı   | <ul><li>☑ Check Attached</li><li>☑ Copy of Fee</li></ul>   |                | Substitute For               | mal Drawing(s)                           |                  | Appeal Brief (in triplicate)                           |  |  |
|   | Transmittal Form   |                |                              |  |                  |  |  |  |
|   | Amendment/Response   |                | Request For C                | Continued                                |                  | Status Inquiry   |  |  |
|   | ☐ Preliminary  |                | Examination (<br>Transmittal | RCE)                                     |                  |  |  |  |
|   | ☐ After Final  |                | i ransınınai                 |  |                  | Return Receipt Postcard                                |  |  |
|   | ☐ Affidavits/declaration(s)☐ Letter to Official  |                | Power of Atto                | rney<br>f Prior Powers)                  |                  | Certificate of First Class Mailing                     |  |  |
| I.  | Draftsperson   |                | (Revocation o                | 11 Hot 1 owels)                          |                  | under 37 C.F.R. 1.8                                    |  |  |
|   | including Drawings [Total Sheets]  | lm             | Terminal Disc                | laimer                                   |                  | Certificate of Facsimile                               |  |  |
| Ē   |  |                | 2 13 0                       | .sotumoi                                 |                  | Transmission under 37 C.F.R. 1.8                       |  |  |
| Ē   | Petition for Extension of  |                | Executed Dec                 | aration and Power                        | $  \Box$         | Additional Enclosure(s)                                |  |  |
|   | Time   |                |                              | r Utility or Design                      |                  | (please identify below)                                |  |  |
|   | _  | Patent Applica |                              | ition                                    |                  |  |  |  |
|   | Information Disclosure Statement Form PTO-1449   |                | Small Entity Statement       |  |                  |  |  |  |
| F   |  |                |                              |  |                  |  |  |  |
|   | Copies of IDS Citations  |                | CD(s) for larg program       | e table or computer                      |                  |  |  |  |
| Ĭ.  | 7 0 17 10 00 1   |                |                              |  |                  |  |  |  |
|   | Certified Copy of Priority  Document(s)  |                | Amendment A                  | Amendment After Allowance                |                  |  |  |  |
|   | Saguence Listing submission  |                | Request for Certificate of   |  |                  |  |  |  |
|   | Sequence Listing submission Paper Copy/CD  |                | Correction  Certificate      | e of Correction (in                      |                  |  |  |  |
|   | Computer Readable Copy Statement verifying   |                | duplicate)                   |  |                  |  |  |  |
|   | identity of above  |                |                              |  |                  |  |  |  |
| 3   | ORRESPONDENCE ADDRESS  | ·              |                              | SIGNATURE BLO                            | OCF              | ζ  |  |  |
| I   | Direct all correspondence to: Patent Administrator   |                |                              |  | ·                | Respectfully submitted,                                |  |  |
| Testa, Hurwitz & Thibe<br>High Street Tower<br>125 High Street<br>Boston, MA 02110<br>Tel. No.: (617) 248-700 |  |                | nibeault, LLP                | Date: June 29, 2001<br>Reg. No. 45,283   |                  | P.S. Marcha M  |  |  |
|   |  |                |                              |  |                  | R Stephen Rosenholm                                    |  |  |
|   |  |                |                              | Tel. No.: (617) 248-                     |                  | 3 Atty/Agent for Applicant(s)                          |  |  |
| Fax No.: (617) 24   |  |                |                              |  | 024              | 7 Testa, Hurwitz & Thibeault, LLP<br>High Street Tower |  |  |
|   |  |                |                              |  |                  | 125 High Street  |  |  |
|   |  |                |                              | 1  |                  | Boston, MA 02110                                       |  |  |

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# FY 2001

|                           | Complete if Known |
|---------------------------|-------------------|
| Application Serial Number | 09/833,089        |
| Filing Date               | April 10, 2001    |
| First Named Inventor      | Doctor            |
| Group Art Unit            | 2163              |
| Examiner Name             | Not yet assigned  |
| Attorney Docket No.       | PRK-002           |

| METHOD OF PAYMENT  | FEE CALCULATION (continued)             |            |   |  |  |
|--|---|------------|---|--|--|
| 1. Payment Enclosed:   | 3. ADDITIONAL FEES                      |            |   |  |  |
| ☐ Check ☐ Money Order ☐ Other  | Large                                   | Small      |   |  |  |
|  | Entity                                  | Entity     |   |  |  |
| 2. The Commissioner is hereby authorized to credit                         | Fee                                     | Fee        | Fee Description Fee Paid                  |  |  |
| or charge any fee indicated below for this submission                      | (\$)                                    | <b>(S)</b> |   |  |  |
| to Deposit Account No. 20-0531.  |   |            |   |  |  |
| Required Fees (copy of this sheet enclosed).                               | 130                                     | 65         | Surcharge - late filing fee or oath 65.00 |  |  |
| Additional fee required under 37 CFR 1.16 and                              | 50                                      | 25         | Surcharge - late provisional filing fee   |  |  |
| 1.17.  | or cover sheet                          |            |   |  |  |
| Overpayment Credit.  | 130                                     | 130        | Non-English specification                 |  |  |
| 3. Applicant claims small entity status.                                   | 2,520                                   | 2,520      | For filing a request for reexamination    |  |  |
| EDDE CAY CAN AMION   | 110                                     |            |   |  |  |
| FEE CALCULATION  | 110                                     | 55         | Extension for reply within first month    |  |  |
| 1. FILING FEE  | 390                                     | 195        | Extension for reply within second month   |  |  |
| Large Entity   | 890                                     | 445        | Extension for reply within third month    |  |  |
| Fee (S) Fee Description Fee Paid   |   | 695        | · · · · · · · · · · · · · · · · · · ·     |  |  |
|  | 1,390                                   | 093        | Extension for reply within fourth month   |  |  |
| 710 Utility filing fee 710.00  | 1,890                                   | 945        | Extension for reply within fifth month    |  |  |
| 710 Utility filing fee 710.00  | 310                                     | 155        | Notice of Appeal                          |  |  |
| 320 Design filing fee  | 310                                     | 155        | Filing a brief in support of an appeal    |  |  |
| 150 Provisional filing fee   | 270                                     | 135        | Request for oral hearing                  |  |  |
|  | 130                                     | 130        | Petitions to the Commissioner             |  |  |
|  | 50                                      | 50         | Petitions related to provisional          |  |  |
| Number Number Rate Amount  | • •                                     |            | Applications                              |  |  |
| Filed Extra  | 180                                     | 180        | Submission of Information Disclosure      |  |  |
| Total Claims 16 - 20 = x \$ 18 00 =  |   |            | Statement                                 |  |  |
|  | 710                                     | 355        | Filing a submission after final           |  |  |
|  |   |            | rejection (37 CFR 1.129(a))               |  |  |
| Independent  | 710                                     | 355        | For each additional invention to be       |  |  |
| Claims 4 $-3 = x $80.00 = 80.00$   | O.1 C (C                                | .0.)       | examined (37 CFR 1.129(b))                |  |  |
| ☐ Multiple Dependent Claum(s), if any \$270.00 =                           | Other fee (Specify) Other fee (Specify) |            |   |  |  |
| Multiple Dependent Claum(s), if any \$270.00 =                             | Other ree (Sp                           | еспу)      |   |  |  |
| TOTAL: 790.00  |   |            |   |  |  |
| SMALL ENTITY DISCOUNT: 395.00  | SUBTOTAL (3) (\$) 65.00                 |            |   |  |  |
| SUBTOTAL (1) (\$) 395.00   |   |            |   |  |  |
| 2. AMENDMENT CLAIM FEES  |   |            |   |  |  |
| Claims Highest No Present Rate Fee Paid                                    |   |            |   |  |  |
| Remaining Previously Extra   | 30 <b>b101A</b> b(3) (4) 03.00          |            |   |  |  |
| After Amend Paid For   |   |            |   |  |  |
| Total \$ 19.00   |   |            | CYIDTOTAL (1) 205.00                      |  |  |
| Total - = x \$ 18 00 =   |   |            | SUBTOTAL (1) 395.00                       |  |  |
| Indep = $x \$ 8000 =$<br>First Presentation of Multiple Dep + $\$270.00 =$ | SUBTOTAL (2) SUBTOTAL (3)   65.00       |            |   |  |  |
| Claim  |   |            | SUBTOTAL (3) 65.00                        |  |  |
| TOTAL. (\$)  |   |            |   |  |  |
| SMALL ENTITY DISCOUNT. (\$)  |   |            |   |  |  |
| SUBTOTAL (2) (\$)  | TOTAL (\$) 460.00                       |            |   |  |  |
|  |   |            |   |  |  |
| CORRESPONDENCE ADDRESS   | SIGNATURE BLOCK                         |            |   |  |  |
|  | June 29, 2001 B. S. M. La Ger (1)       |            |   |  |  |
|  | Reg. No.: 45,                           |            | Ř. Stephen Rosenholm                      |  |  |
| Testa, Hurwitz & Thibeault, LLP  | Tel. No.: (61                           | 7) 248-779 |   |  |  |
| High Street Tower-125 High Street  | Fax No.: (61                            | 7) 248-024 |   |  |  |
| Boston, MA 02110   |   |            | High Street Tower-125 High Street         |  |  |
| Tel. No.: (617) 248-7000   | Boston, MA 02110                        |            |   |  |  |
| Fax No.: (617) 248-7100  |   |            |   |  |  |
|  |   |            |   |  |  |



COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C 20231 www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |  |
|--------------------|---------------------|-----------------------|------------------------|--|
| 09/833,089         | 04/10/2001          | Jonathan Doctor       | PRK-002                |  |

04/10/2001

Jonathan Doctoi

CONFIRMATION NO. 8871

FORMALITIES LETTER \*OC000000006183563\*

021323 TESTA, HURWITZ & THIBEAULT, LLP HIGH STREET TOWER 125 HIGH STREET BOSTON, MA 02110

WOEBREMI GUDGOLAE 19833A63

Date Mailed: 06/14/2001

### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

